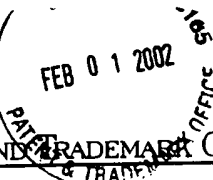




UNITED STATES PATENT AND TRADEMARK OFFICE



COPY OF PAPERS  
ORIGINAL FILED

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/925,139	08/08/2001	Rosanne M. Crooke	ISPH-0596

CONFIRMATION NO. 3066

FORMALITIES LETTER



\*OC000000006955678\*

Licata & Tyrrell P.C.  
66 E. Main Street  
Marlton, NJ 08053

# 4

Date Mailed: 10/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09925139

00000003 501619

65.00 CH

02/05/2002 TLW11

02 FC:205

HH

Section 5

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
ISPH-0596

In Re Application Of: Crooke et al.

FEB 01 2002  
PATENT & TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILED

Serial No.  
09/925,139

Filing Date  
August 8, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
1645

Invention: Antisense Modulation of Cholesteryl Ester Transfer Protein Expression

# 5

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
October 23, 2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date. - **two Declarations**
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ \_\_\_\_\_ verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☐ Other (list):

02/05/2002 TLW11 00000003 501619 09925139  
03 FC:215 55.00 CH

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-150) (Small Entity)**

Docket No.  
ISPH-0596

In Re Application Of: Crooke et al.

FEB 01 2002

COPY OF PAPERS  
ORIGINALLY FILED

Serial No.  
09/925,139

Filing Date  
August 8, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
1645

Invention: Antisense Modulation of Cholesteryl Ester Transfer Protein Expression

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☐ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims =

☐ Total number of claims =

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: December 24, 2001 until: January 23, 2002  
Date Date

Total time extension fees **\$55.00**

Total fees due **\$120.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
ISPH-0596

In Re Application Of: Crooke et al.

FEB 01 2002

COPY OF PAPERS  
ORIGINALLY FILED

Serial No.  
09/925,139

Filing Date  
August 8, 2001

Examiner  
Not Yet Assigned

Group Art Unit  
1645

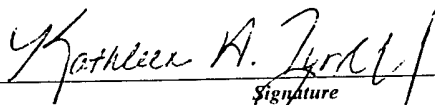
Invention: Antisense Modulation of Cholesteryl Ester Transfer Protein Expression

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$120.00 is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 50-1619  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 50-1619  
A duplicate copy of this sheet is enclosed.

  
Signature

Jane Massey Licata, Reg. No. 32,257  
Kathleen A. Tyrrell, Reg. No. 38,350  
Licata & Tyrrell P. C.  
66 E. Main Street  
Marlton, New Jersey 08053

Telephone: (856) 810-1515  
Facsimile: (856) 810-1454

Dated: December 28, 2001

I certify that this document and fee is being deposited on Dec. 28, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Deborah Ehret

Typed or Printed Name of Person Mailing Correspondence

CC: